

# Mefcom Capital Markets Ltd.

5<sup>th</sup> Floor, Sanchi Building, 77, Nehru Place, New Delhi-110 019.

Phone: +91(11) 46500500 Fax: +91(11) 4650 0550

E-mail : info@mefcom.in Website: www.mefcom.in

CIN : L74899DL1985PLC019749

**Ref: MCM/SEC/BSE/01/16**

January 15, 2016

**The Manager Listing  
The Stock Exchange Mumbai**  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
**Mumbai - 400001**

**SUB: Filing of Documents to comply with SEBI (Listing, Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended December 31, 2015.**

Dear Sir

Please find enclosed herewith following documents as required by you for compliance of the Company for the quarter ended 31.12.2015:

- a. **Shareholding Pattern as on 31.12.2015.**
- b. **Quarterly Compliance Report on Corporate Governance as on 31.12.2015.**

Kindly take the same on your record and acknowledge the receipt.

Thanking you,

Yours truly,  
For **Mefcom Capital Markets Ltd.**



- **Company Secretary**



Name of the Company: MEFCOM CAPITAL MARKETS LIMITED

Quarter ending on: 31.12.2015

I. Composition of Board of Director's									
Title (Mr./Ms)	Name of the Director	PAN\$ & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) &	Date of Appointment in the current term /cessation	Tenure*	No Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	
Mr.	Vijay Mehta	PAN:AAKPM1588 DIN : 00057151	Executive managing Director	01/07/2013	NIL	1.Mefcom Capital Markets Ltd	Mefcom Capital Markets Ltd(membership) 1.Audit Committee 2.Stakeholder Relationship 3.Nomination & Remuneration Committee	NIL	
			Independent Director	18/07/2009 (Stakeholder Committee) 24/05/2014 (CSR Committee)	6years, 6Months 1year, 8Months	2.Banswara Syntex Ltd	1.Stakeholder Relationship Committee	Corporate responsibility Committee	Social
Mr.	Shalendra Haruray	PAN:AAAPH4991H DIN : 00075083	Non- Executive Independent Director	28/04/2011	4 years, 8 months	Mefcom Capital Markets Limited	1.Audit Committee 2.Stakeholder Relationship Committee	Nomination Remuneration Committee	&
Mr.	Sham Nijhawan	PAN:AAEPN0192Q DIN : 00057210	Non- Executive Independent Director	31/01/2005	10years, 11 months	Mefcom Capital Markets Limited	1.Audit Committee 2.Nomination & Remuneration Committee	Stakeholder Relationship Committee	
Mr.	Tarsem Garg	PAN:AAEPN0192Q DIN : 00057210	Non- Executive Independent Director	23/06/2012	3years,6months	Mefcom Capital Markets Limited	1.Stakeholder Relationship 2.Nomination & Remuneration Committee	Audit Committee	
Mrs.	Nisha Ashwani Kumar	PAN:AGDPK0748G DIN : 01089668	Non- Executive Independent Director	29/09/2015	3months	Mefcom Capital Markets Limited	1.Audit Committee 2.Stakeholder Relationship 3.Nomination &	NIL	

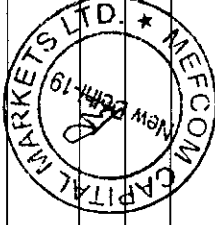


\$:- PAN number of any director would not be displayed on the website of Stock Exchange

&:- Category of directors means executive/non-executive/Independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

\*:- To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on, Board of directors of the listed entity in continuity without any cooling off period

II. Composition of Committees			
Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) \$	
1. Audit Committee	Mr. Tarsem garg Mr. Shailendra Haruray Mr. Sham Nijhawan Mr. Vijay Mehta	Chairman Member Member Member	
2. Nomination & Remuneration Committee	Mr. Shailendra Haruray Mr. Tarsem garg Mr. Sham Nijhawan Mr. Vijay Mehta	Chairman Member Member Member	
3. Stakeholders Relationship Committee	Mr. Sham Nijhawan Mr. Shailendra Haruray Mr. Sham Nijhawan Mr. Vijay Mehta	Chairman Member Member Member	
\$ Category of directors means executive/non-executive/Independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.			
III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter 05/11/2015	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days) 96Days	
IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
	Audit Committee Out of the 4 members including Chairman two Directors were Present	05/11/2015	96Days
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional.			
Subject		V. Related Party Transactions	
		Compliance Status	



Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	N.A.

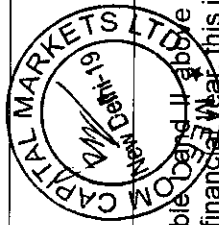
**Note :**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a) Audit Committee
  - b) Nomination & remuneration committee
  - c) Stakeholders relationship committee
  - d) Risk management committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter have been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

**Name & Designation**  
**Pinki Jha**  
**Company Secretary**



**Note:** Information at Table B and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.